Designing and Managing Fisheries Data Systems that Support the NOAA Data Quality Act: A Case Study Using the Hawaii Longline Observer Program

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NOAA Fisheries - Pacific Islands Fisheries Science Center

Honolulu, Hawaii

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Asking the Hard Questions...

- Can I prove that my data is as good as I think it is?
- Do I really know why we've been collecting that piece of data for the past ten years?
- Do I worry that my data will be misinterpreted when it is released?
- Can I really be sure that my data hasn't been corrupted?
- Do I dread running into my data users in the coffee room?
- Can I handle even a minor system modification?
- Is linking related data sets a nightmare?
- Are my users all reporting off the same instance of the data?

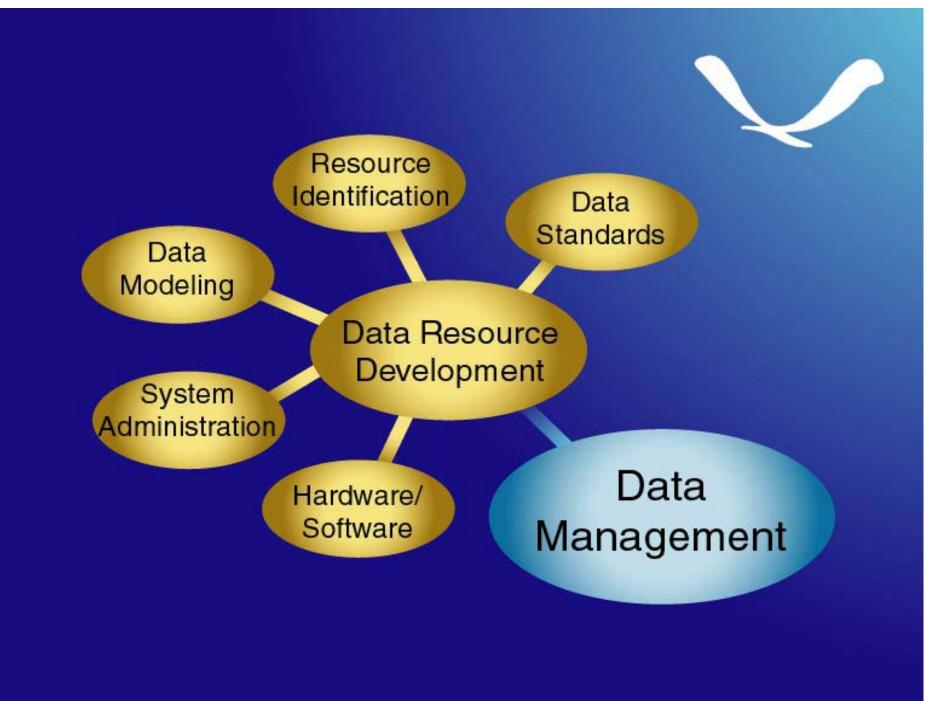
A Mission Born out of Passion and Frustration...

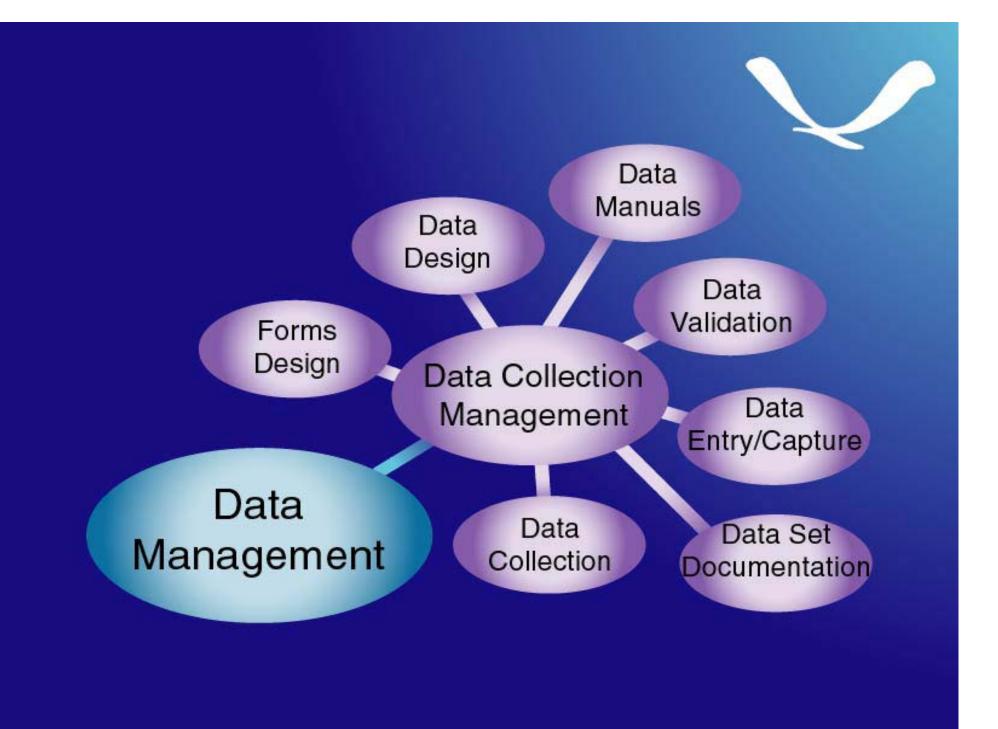
- Poor data quality
- Data contamination during processing steps
- Inadequate documentation
- No change control procedures
- Poor communication between data role groups
- Unreasonable time for data dissemination
- Summary reporting from multiple and different data sources

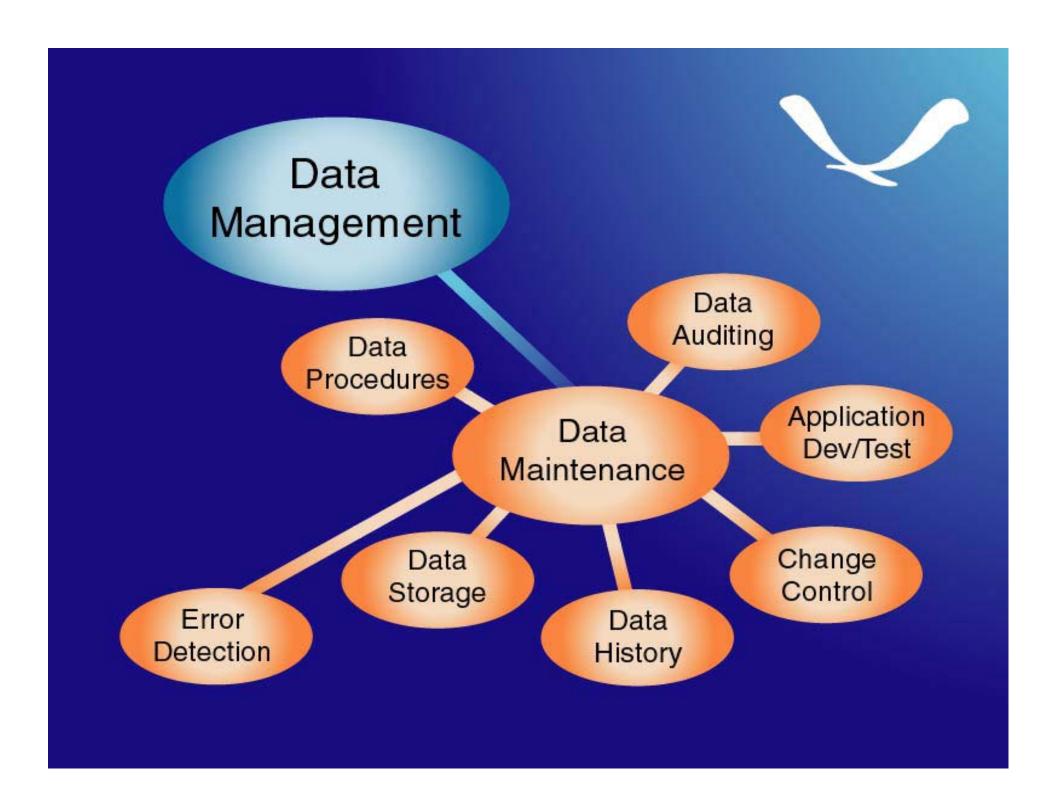
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What Is Data Management?

It's Not Just Archiving!

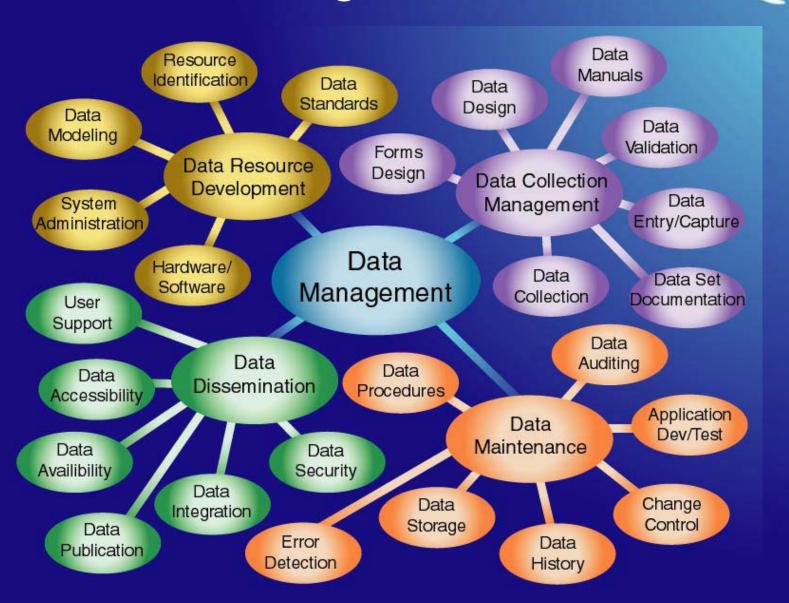






Data Management User Support Data Data Dissemination Accessibility Data Data Availability Security Data Integration Data **Publication**

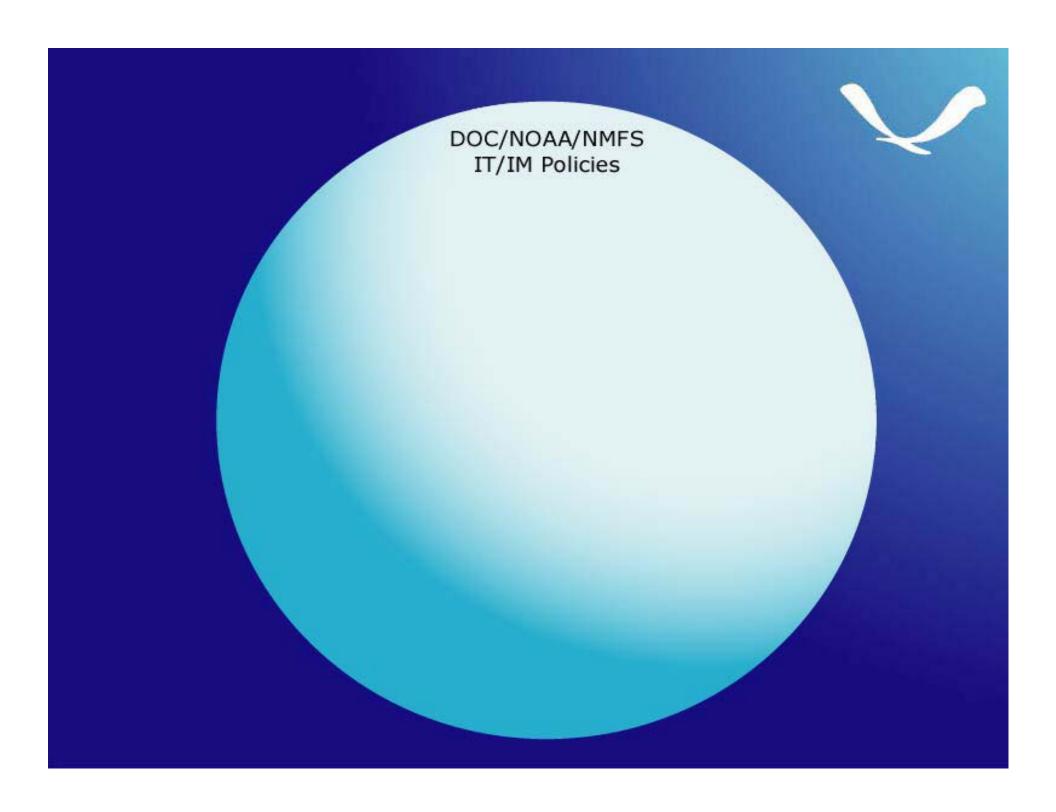
Data Management Areas

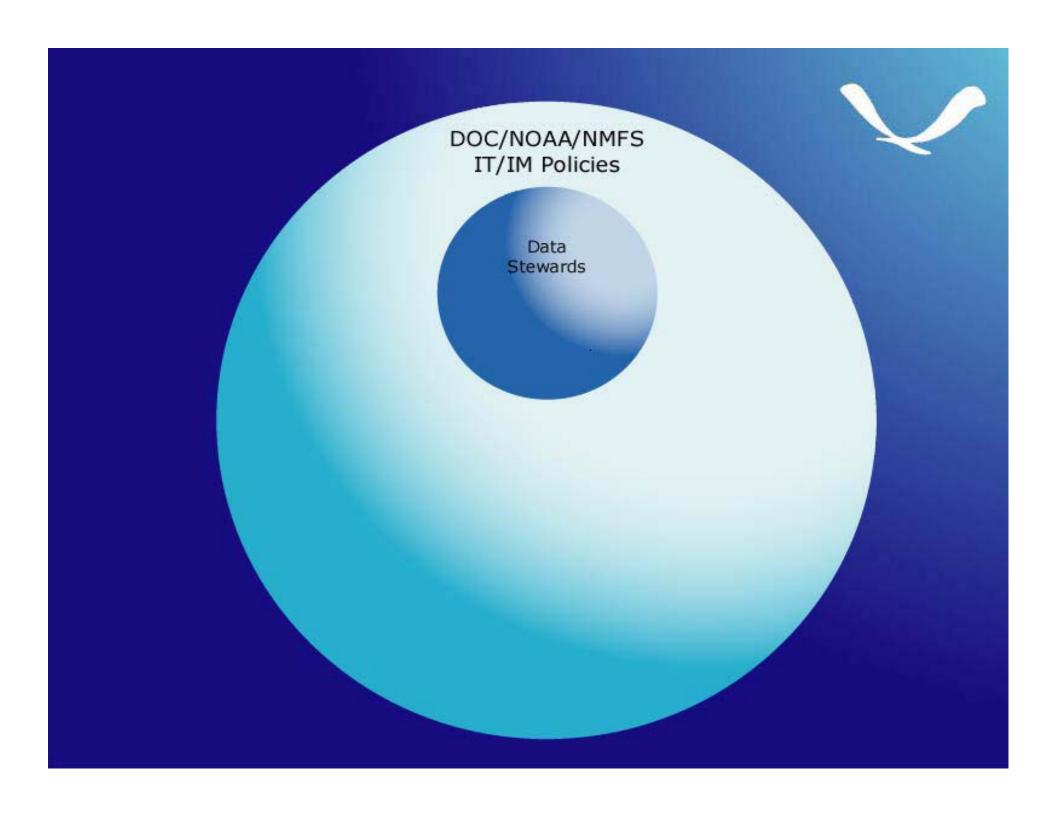


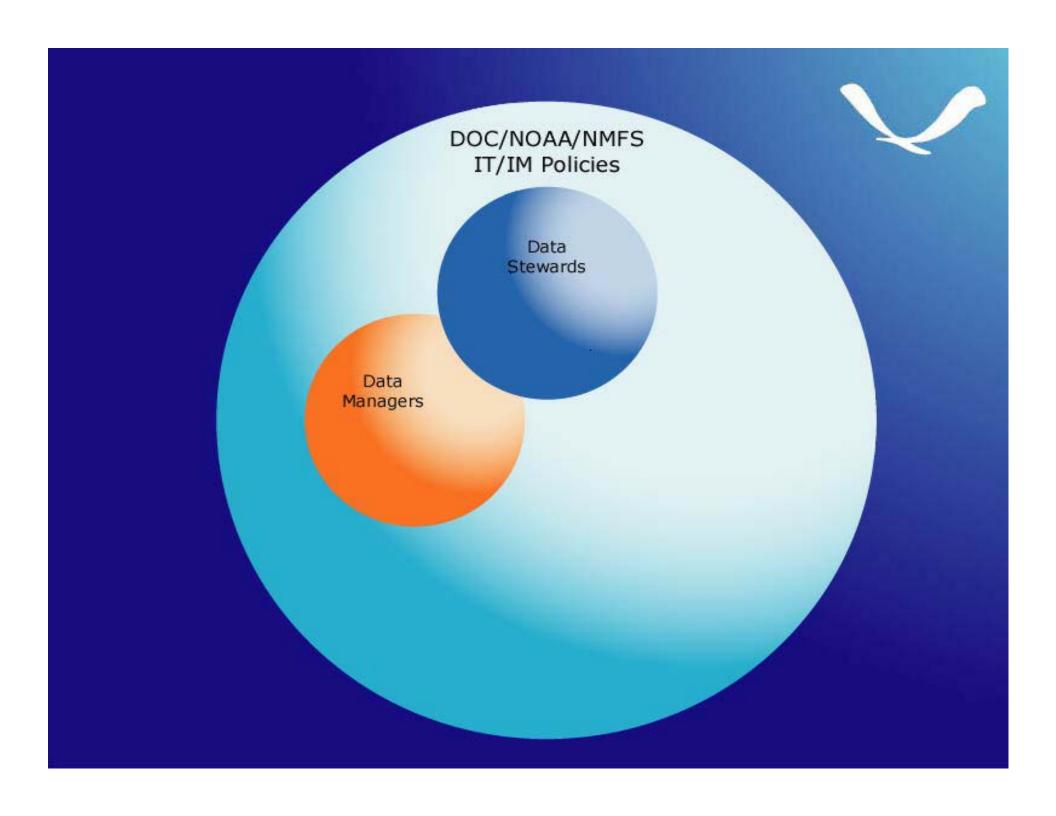


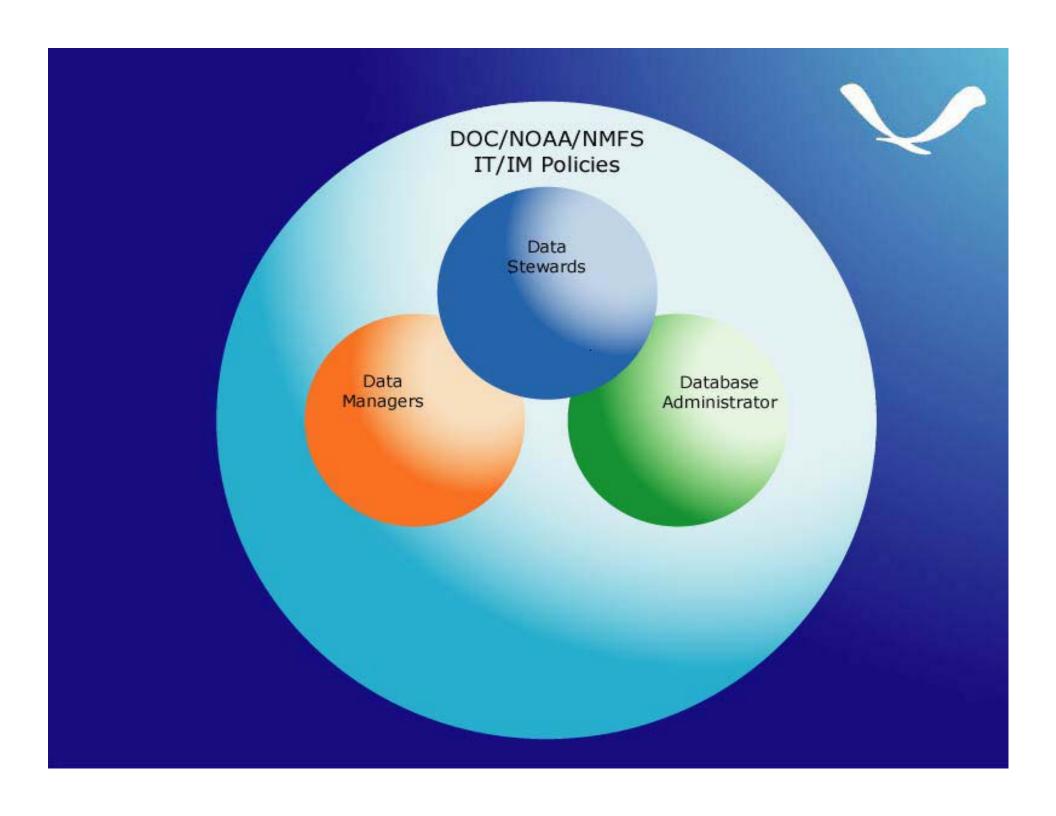
Who Is Responsible for Data Management?

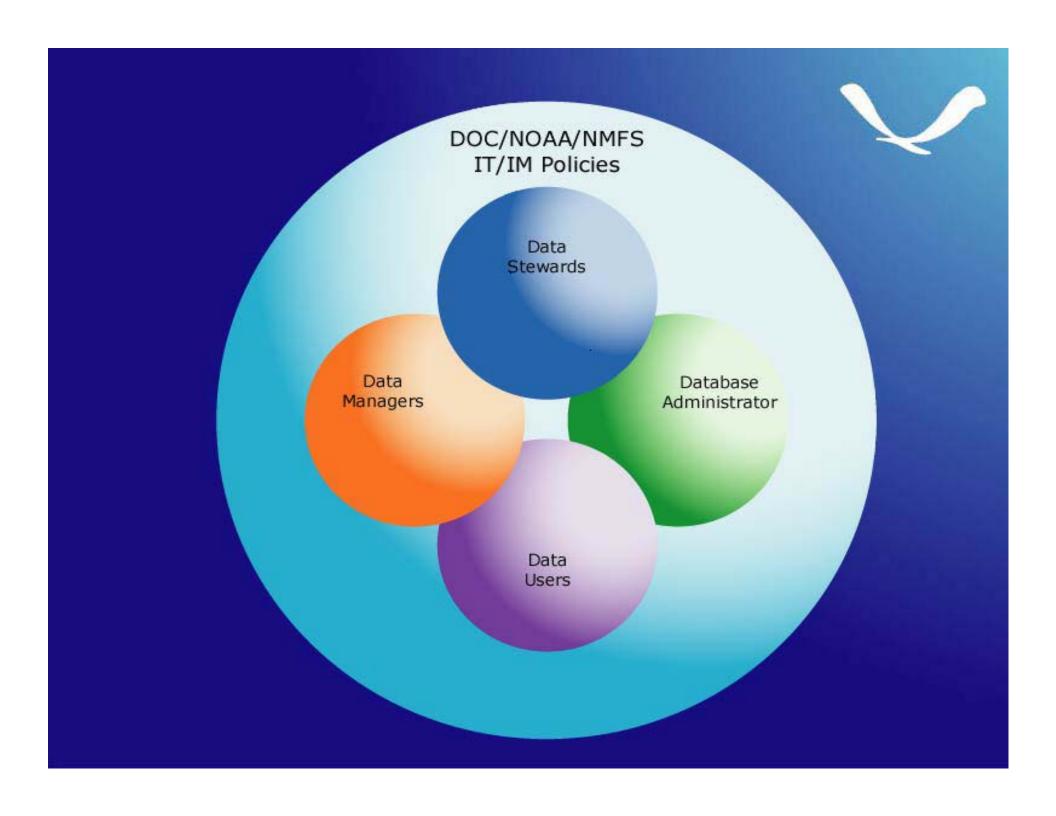
All data role groups!

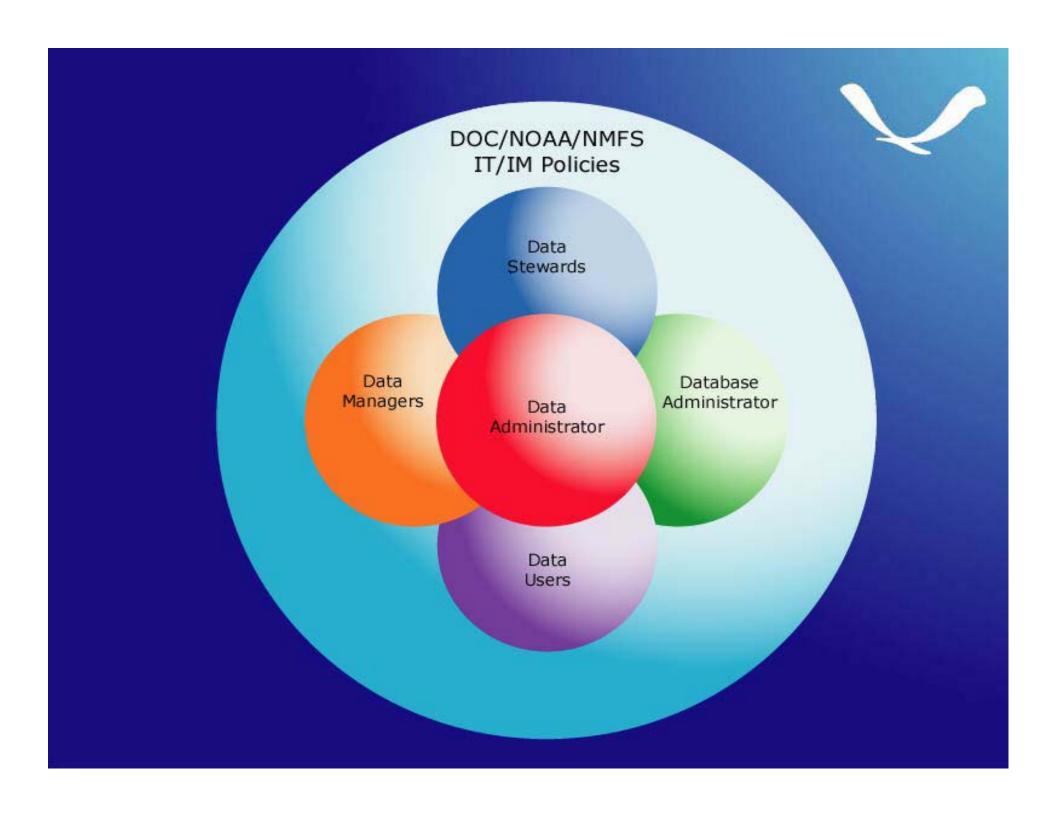












Our Mission...

Ensure access and dissemination of quality Fisheries data and metadata to appropriate users in a timely manner.

The Technical Team

Jan Pappas:

- PIFSC systems DBA
- Oracle Project Team member

Karen Sender:

- JIMAR contractor
- DBA/Computer Specialist for ITS Oracle Team
- Scientific Information Systems background



- Look for data management resources that already exist
- Commit to data quality and data transparency
- Develop reusable data management tools

Our Ground Rules ...(cont.)

- Involve all the data role groups
- Adopt the data stewardship paradigm
- Design in process improvement schemes
- Minimize points-of-failure

Our Ground Rules ...(cont.)

- Completely document every aspect of the data system
- Provide data management education at every opportunity
- Keep it simple
- Try the plan on an existing data system



Hawaii Longline Observer Program

- Collecting data since 1994
- 5-25% coverage of Hawaii Longline fishing trips
- Related data sets Logbook, landings
- Post trip data entry by the observer in office

The Support Team

Stuart "Joe" Arceneaux:

PIRO Observer Training Coordinator

Thomas Swenarton:

PIRO Debriefer

Tina Chang:

- FIS IT Coordinator/DBA
- NASDAQ and NASA Hubble systems development

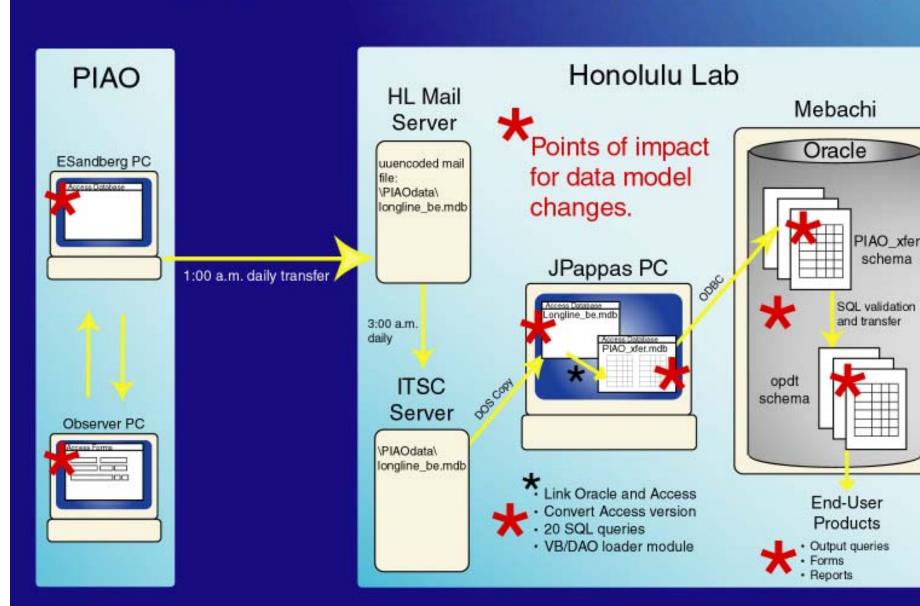
Data Role Group Concerns of the Existing System

- End User complaints on data quality
- No clearly defined process for reporting/resolving data issues
- Database model deficiencies
- Excessive points-of-failure
- Data transfer arduous
- Labor intensive effort for any system modification
- Redundant and ambiguous data elements
- Burden on data collectors

Observer Electronic Data Flow



schema



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Team Focus Areas

- Data Quality
- Ease of Use (collection and data entry)
- Data Management at the source (PIRO)
- Data Security
- System reliability and stability
- Data Harmony with national FIS objectives
- Compliance with NOAA Data Quality Act

Data Quality

- Document definitions for all data elements
- Develop Data Issues Management tool
- Develop and enforce change control procedures
- Track Data set history/activities
- Promote the Data Stewardship model

Ease of Use

- Use web based interface
- Design in data validation at data entry
- Develop user controlled data set validation scheme
- Secure web interface using database roles
- Design user friendly feedback and error messaging

Data Management at the Source

- Administration tools at PIRO to manage
 - reference codes
 - validation algorithms
 - users
 - database roles
 - Project circulars, activities log, news, FAQ



- Each data entry person and debriefer to log on with own user name and password
- Database user roles to provide appropriate read, insert, update, and delete privileges
- History who, when, what for each database transaction to be maintained in the database
- Use NOAA FITS approved technologies

System Reliability

- Connection between PIRO and HL is through direct T1 line, independent of internet
- Reduction in number of points-of-failure
- Automated nightly backup of database

Harmony with National FIS

- Design to consider ACCSP(FIS) data structure and objectives
- Standardize field names and data types
- Manage metadata for all data elements in a data registry

NOAA Data Quality Act

- Requires a process for reporting/resolving errors
- Requirement for data transparency, quality, integrity, and utility
- Need for clearly defined data management practices

Accomplishments to Date

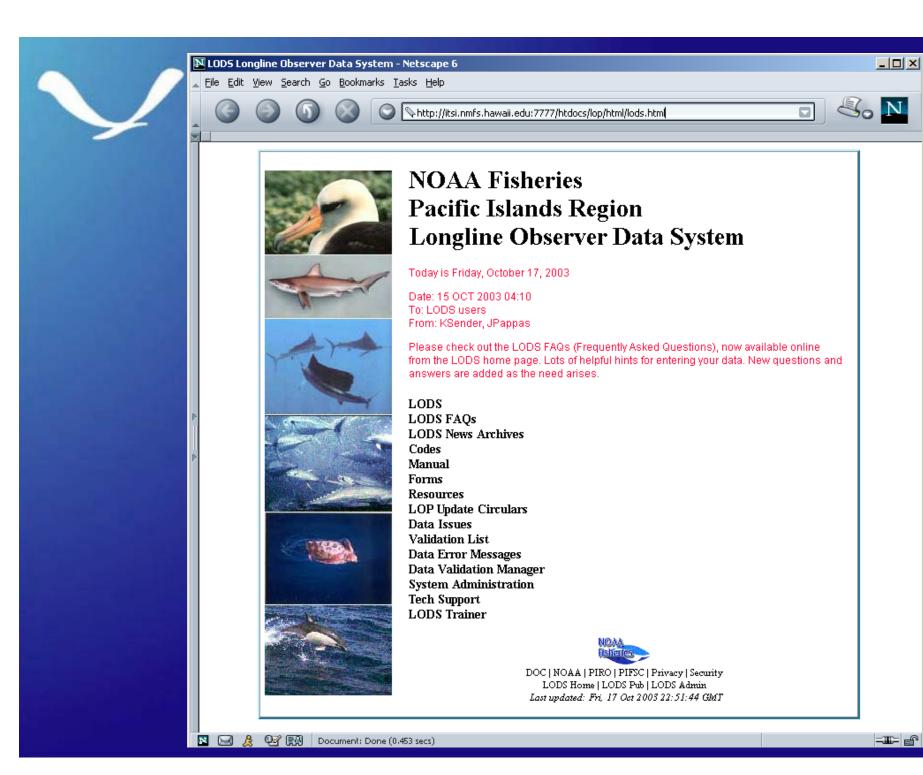
- Improved communication between data role groups
- Improved data quality and data utility
- Better data, happier users
- Additional data in the enterprise database
- Elimination of redundant data items
- Computer calculation replaces human calculations

Accomplishments (cont.): Data Model Enhancements

- Model design that ensures data stability and integrity
- Use of appropriate data types to facilitate summary calculations
- Data history tables track inserts, updates and deletes as well as who, when and what data was changed
- Reference code management allows code changes without model changes

- LODS Home
- Data Entry Application
- Data Element Registry
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Set Tab

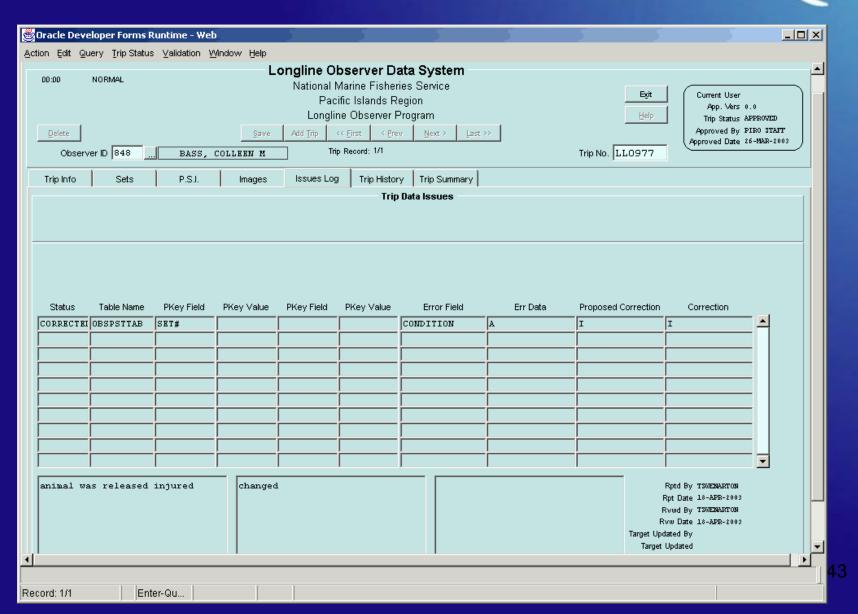


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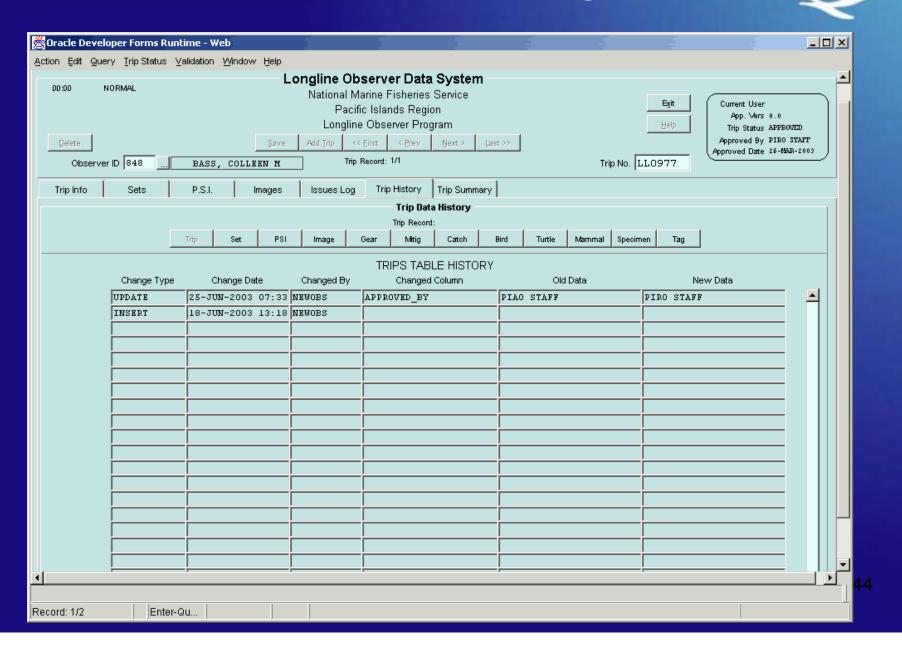
Set Number: Sets are numbered consecutively for each observed trip beginning with 01.

Issues Tab

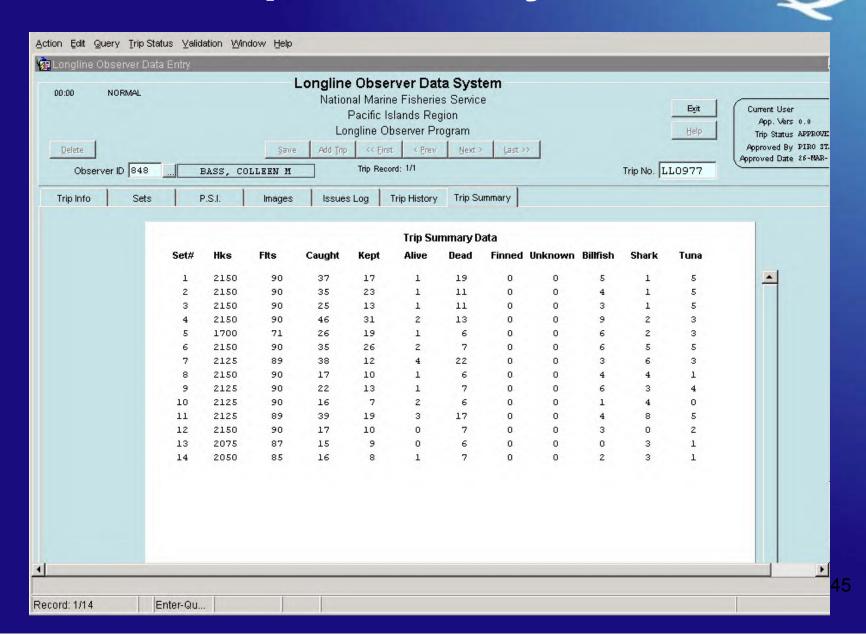




Trip Data History Tab



Trip Summary Tab

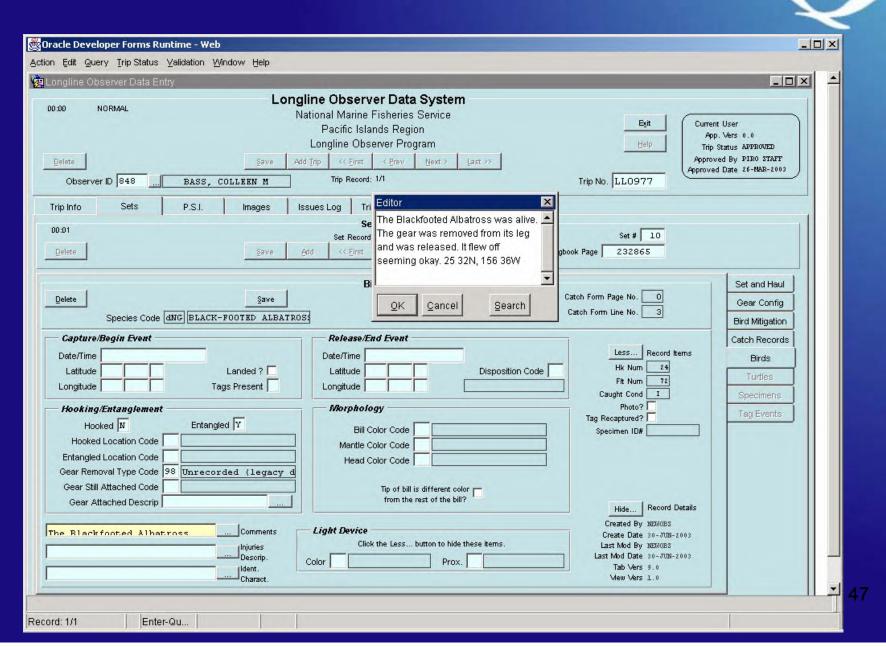


Catch Tab

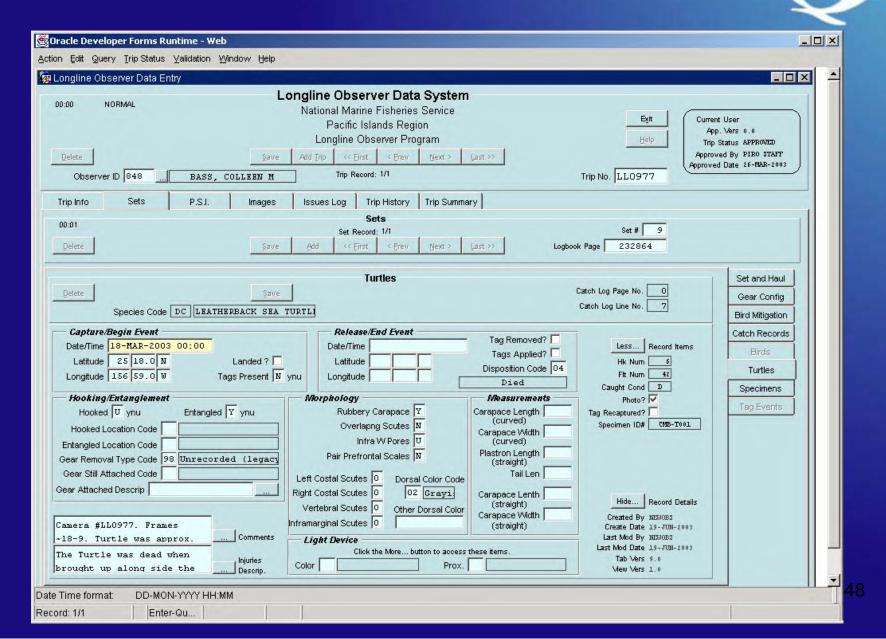


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Birds Tab



Turtles Tab



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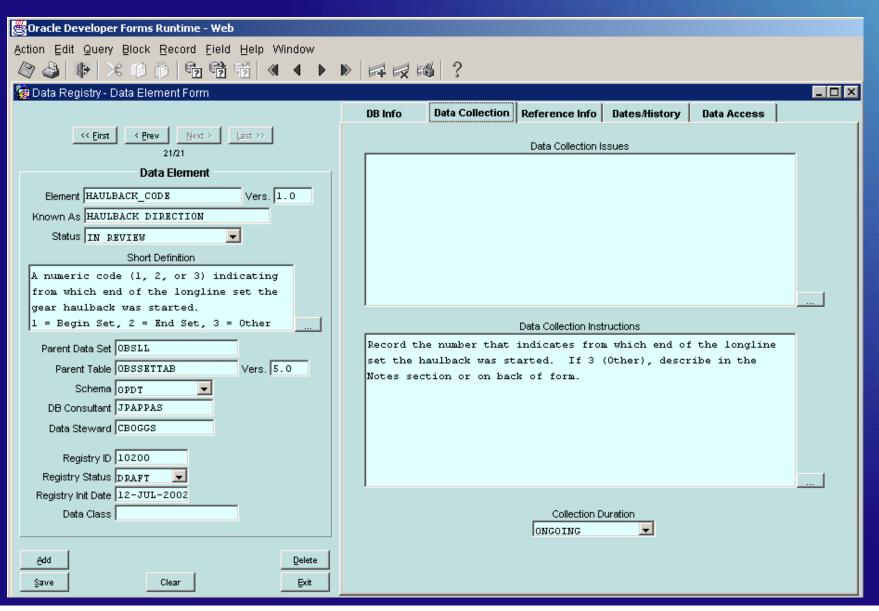
Data Element Registry Database Information Tab



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DB Consultant JPAPPAS	
Data Steward CB0CCS	Validation Rules
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Registry Status DRAFT	nust be integer between I and 3
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Data Element Registry Data Collection Tab





Data Element Registry References Tab



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1 = Begin Set, 2 = End Set, 3 = Other	PIAO Circular Update #48; Confidentiality document LJ-97-01;
Parent Data Set OBSLL	draft paper by William Walsh, Christofer Boggs (Honolulu Lab);
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DB Consultant JPAPPAS	haulback direction for some years and some areas
Data Steward CB0GGS	Justification
	To improve data quality on protected species interactions. This information is needed to derive the approximate soak period
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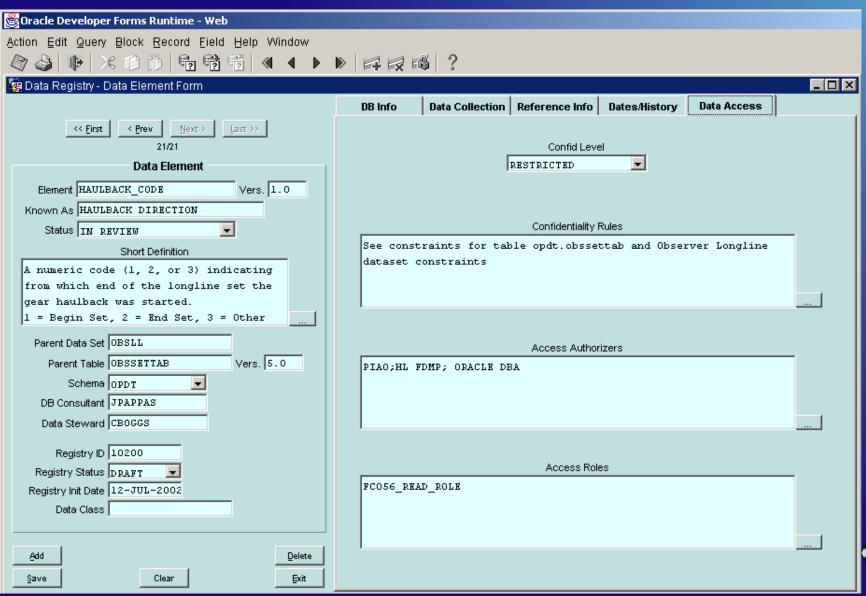
Data Element Registry History Tab



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gear haulback was started.	Data Element Activities Log	
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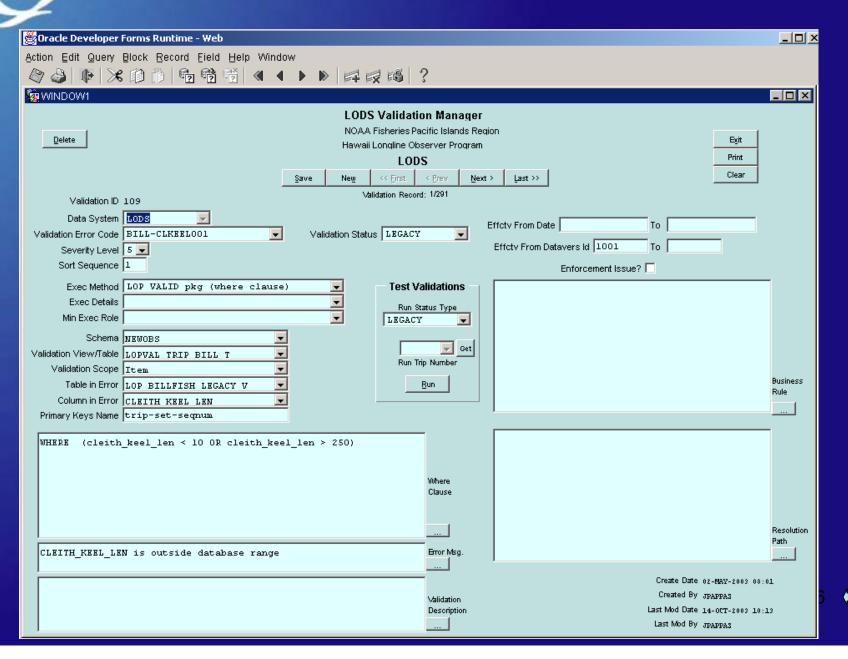
Data Element Registry Data Access Tab





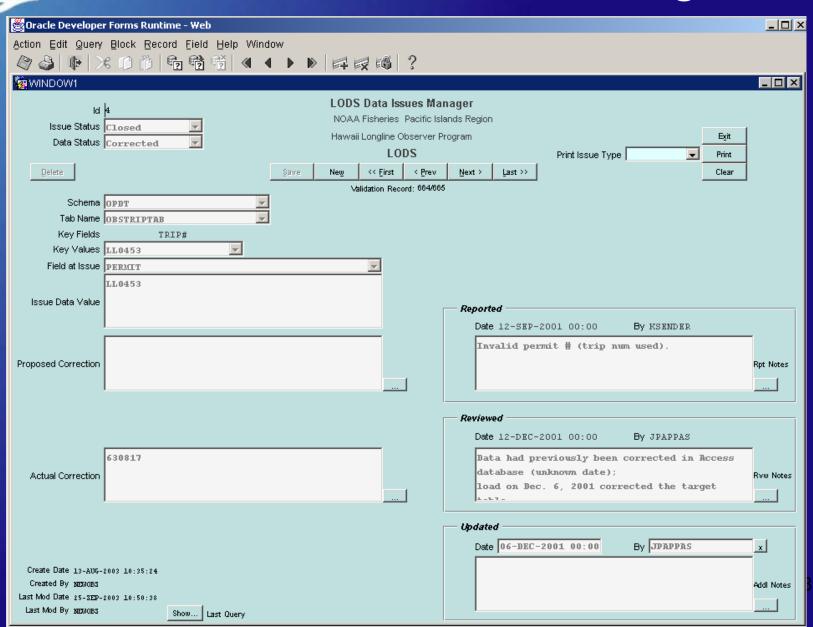
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LODS Data Validation



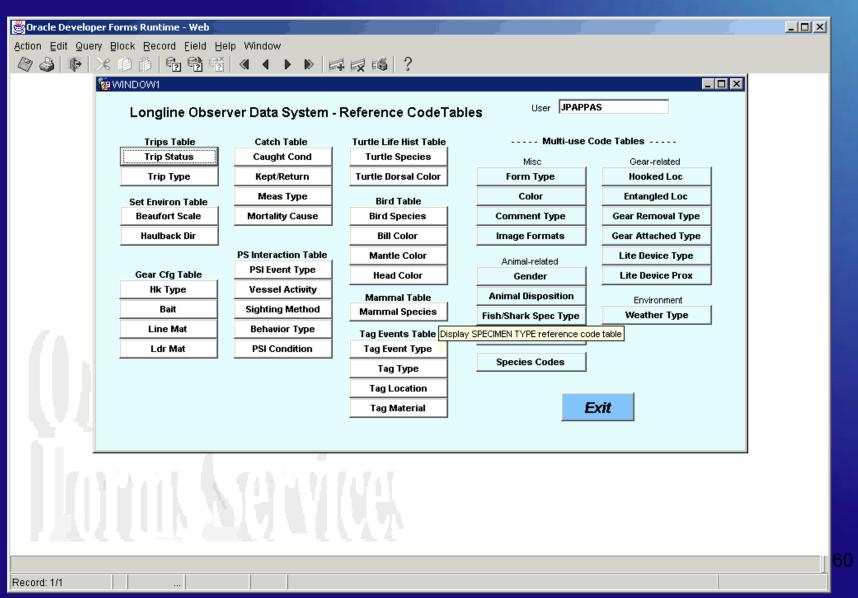
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LODS Data Issues Manager

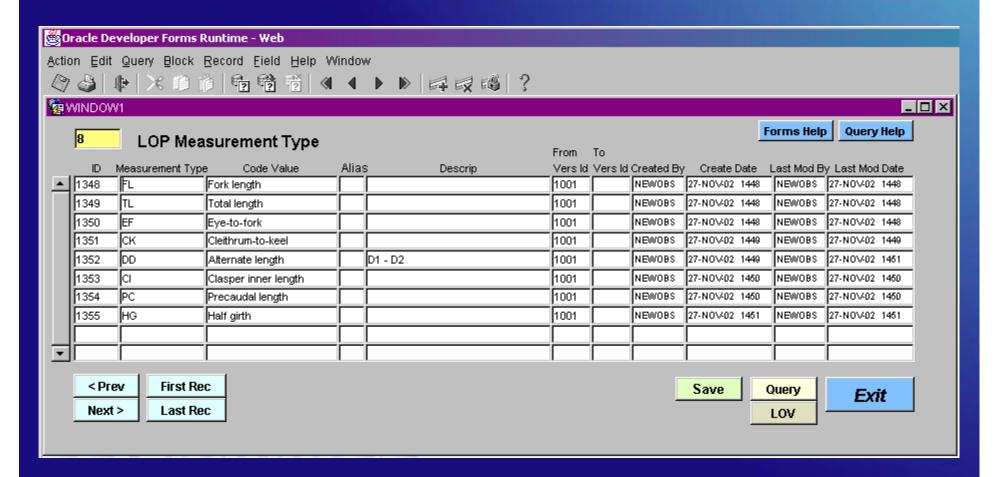


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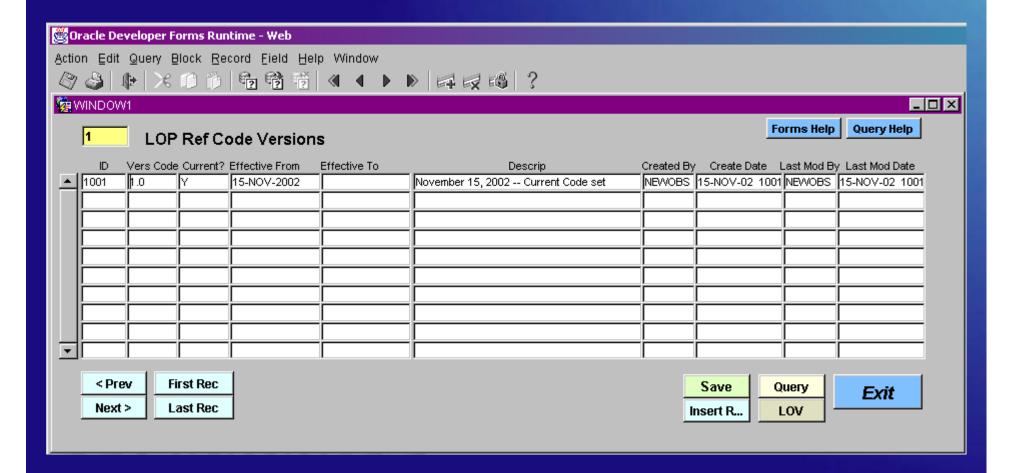
Reference Code Management



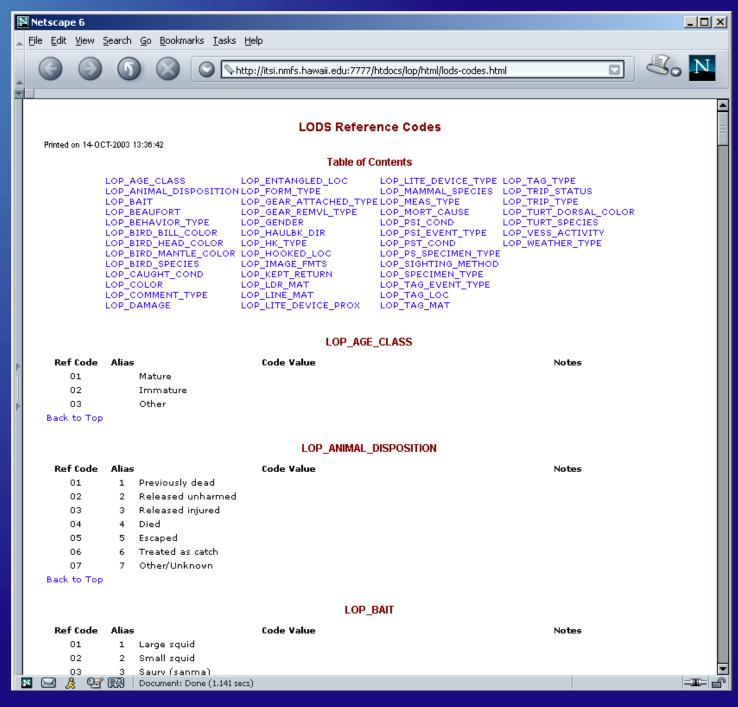
Measurement Type Codes



Reference Code Versioning

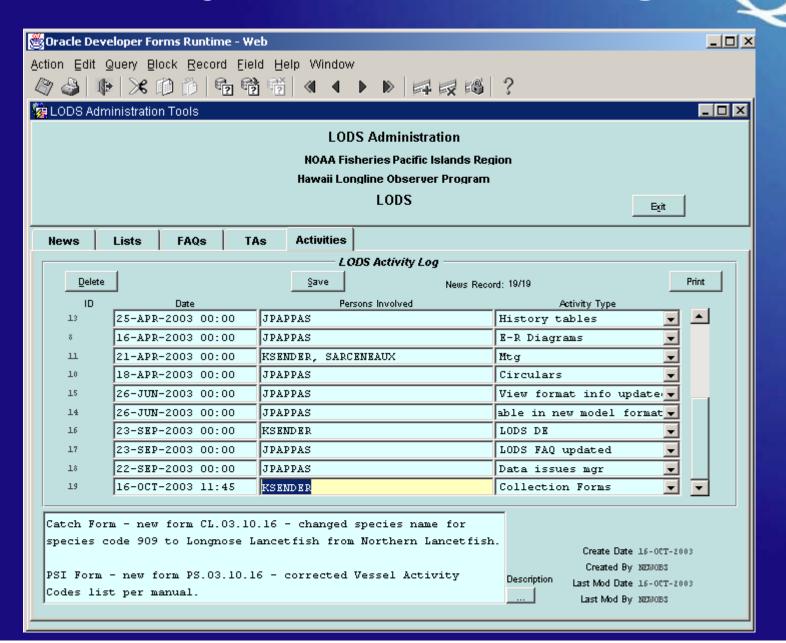




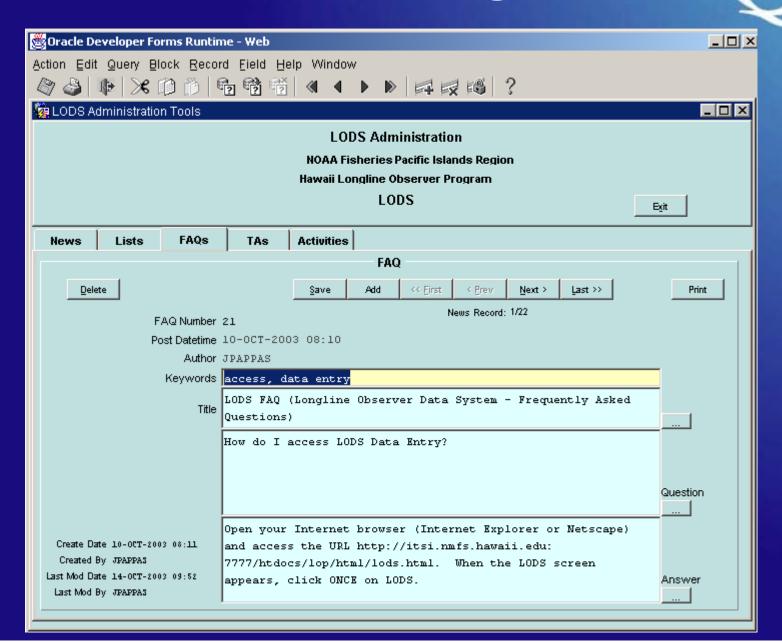


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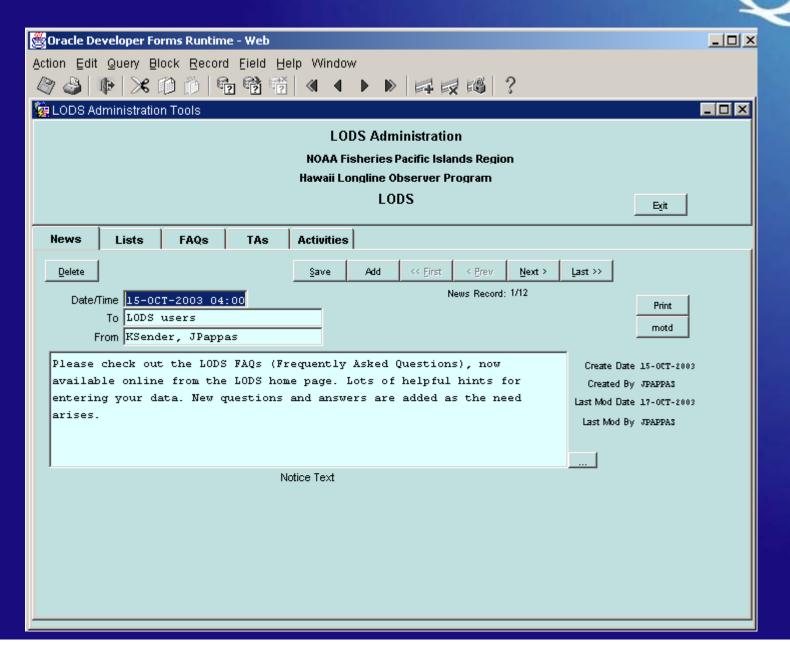
Project Activities Log



FAQ Manager



LODS News

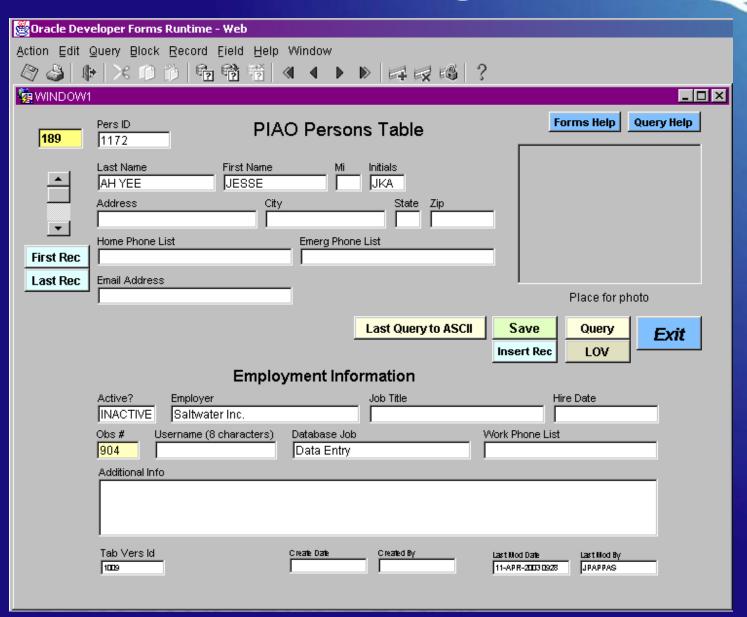


Project Circulars Management

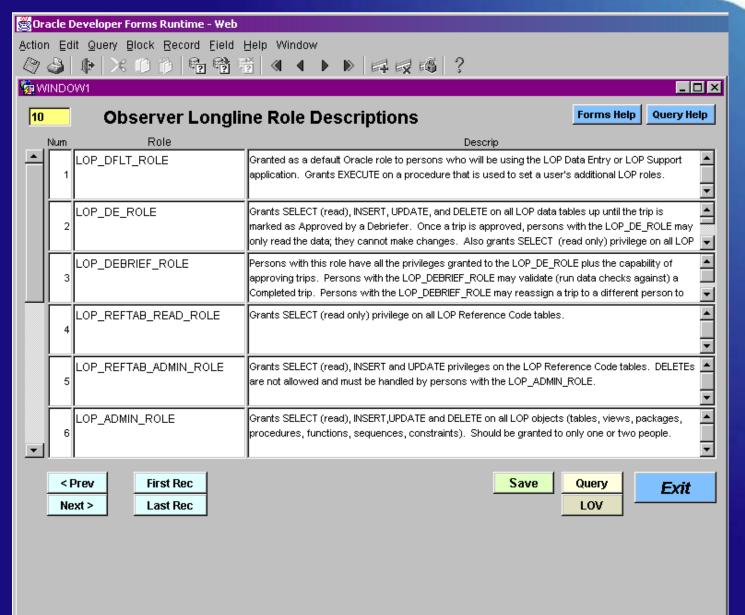


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Circ Date 11-MAY-1994	
Descrip Changes to data collection instructions for: - Gear Retrieval - Vessel Specification Record - Catch Tally Sheet Also, five new species codes added: Unidentified Harshell Turtle: UH, Fraser's Dolphin: LH, Shorttailed Albatross: dAL, Oilfish: 014, and Dagger Pomfret: 907 - Protected Species Interactions and Sighting Record	
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tailed Albatross: dAL, Oilfish: 014, and Dagger Pomfret: 907 - Protected Species Interactions and Sighting Record	- Catch Tally Sneet
tailed Albatross: dAL, Oilfish: 014, and Dagger Pomfret: 907 - Protected Species Interactions and Sighting Record	Also, five new species codes added: Unidentified Harshell Turtle: UH, Fraser's Dolphin: LH, Short-
- Protected Species Interactions and Sighting Record - Prev First Rec Acrobat HELP Save Query Next > Last Rec LOV	
Next > Last Rec LOV	
Next > Last Rec LOV	
	< Prev First Rec Acrobat HELP Save Query

Persons Management



Data Role Management



Species Code Management

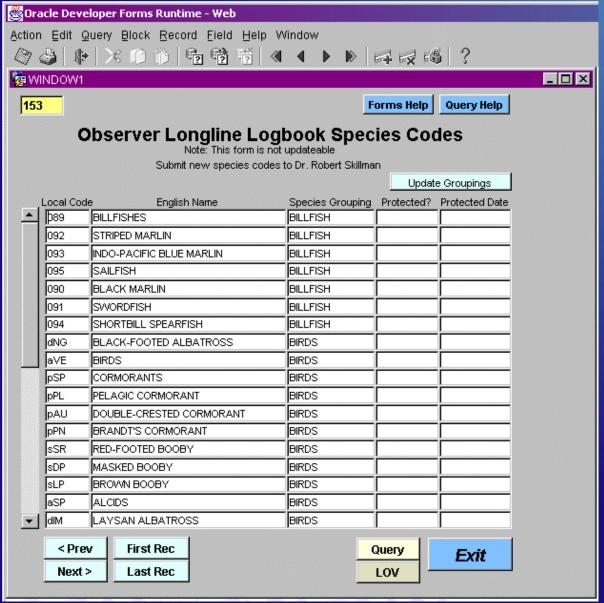
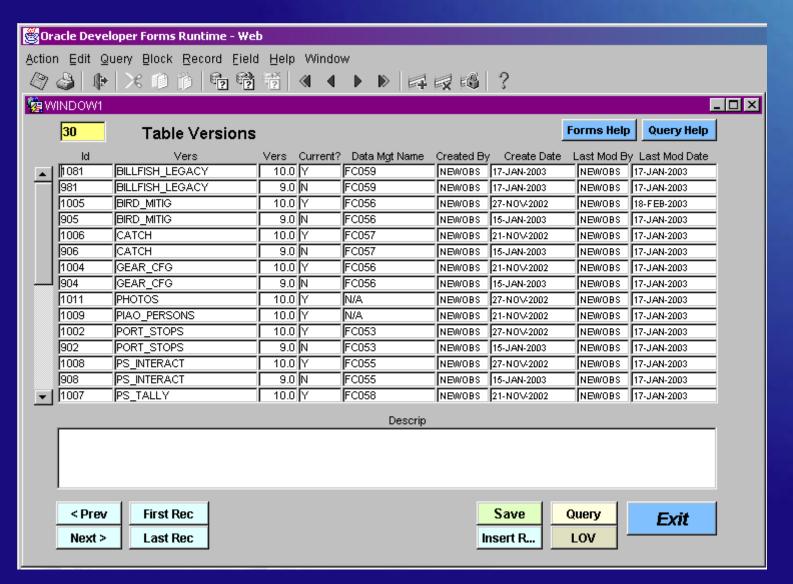


Table Version Management



Future Opportunities

- Apply tools and techniques developed for LODS to other data systems
- System process improvement from Issues Management and Data History statistics
- Integration of documentation tools and techniques into <u>InPort</u> metadata repository
- Work with FIS Professional Specialty Groups (PSG) to develop and promote NMFS data management best practices



Reviewing the Process

Overcoming the politics of change



Reviewing the Process

- Overcoming the politics of change
- Doing it the Hard Way



Reviewing the Process

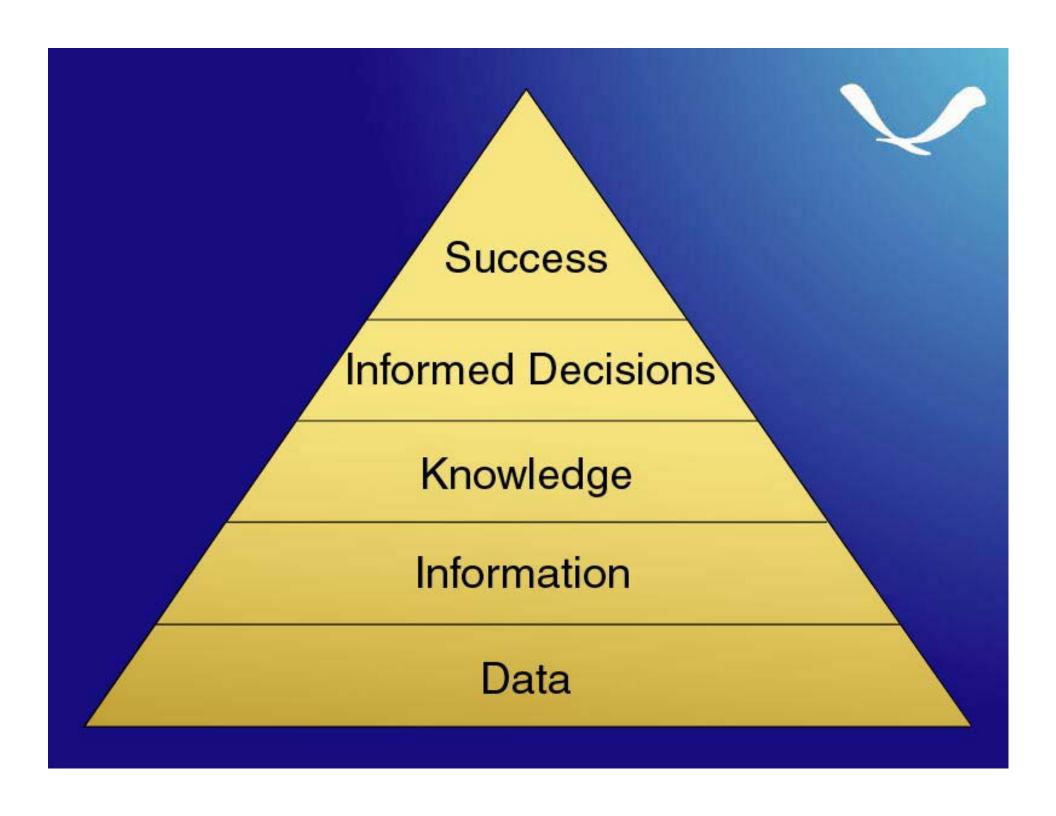
- Overcoming the politics of change
- Doing it the Hard Way
- FIS to the rescue

Everything I know, I learned at sea...

- Change happens, enjoy it!
- Passion and energy can often overcome a lack of resources
- If you want to keep the boat afloat, you need the whole crew
- If you want the boat to go in the right direction, you need a captain



- The most accurate data are not defensible without adequate documentation
- Data management policies and guidelines need to be adopted
- Data management needs to be a forethought, not an afterthought when planning and budgeting projects
- Quality data management is not rocket science
- GIS, FGDC, NDQA, Data Integration require good data management





End

Designing and Managing Fisheries Data Systems that Support the NOAA Data Quality Act: A Case Study Using the Hawaii Longline Observer Program

Karen Sender and Janet Pappas

NOAA Fisheries - Pacific Islands Fisheries Science Center

Honolulu, Hawaii

FIS Conference 2003 18 November 2003



Addendum

The following ten slides are presented to describe InPort, our working model of an integrated data registry and metadata repository

Karen Sender and Janet Pappas

NOAA Fisheries - Pacific Islands Fisheries Science Center

Honolulu, Hawaii

FIS Conference 2003 18 November 2003



- Maintain a complete inventory of data
- Metadata are readily available on-line
- Automatically generate near final FGDC metadata records
- Reduce the waste of time and money
- Facilitate the sharing of data and information



InPort Information Objects

InPort



National Oceanic and Atmospheric Administration National Marine Fisheries Service Southwest Fisheries Science Center Honolulu Laboratory



InPort Home |NMFS-HL | Glossary | Help

Project A	A program, project, or task that submits information and metadata to InPort.
Data Set	A data system, suite, or entity that contains data elements, such as a data file or a collection of specimens
Database	Collections of data residing in a database management system.
Data Element	Information item that belongs to a data set.
Document	Published books, journals, meeting abstracts, reports, theses, conference proceedings, manuals, etc.
Multimedia	Imagery, video, audio, and related digital recordings.
Model/App	Algorithm, statistical model, data entry or data processing application, code snippets, etc.
Table	A structured collection of data elements in a relational database.
Cakma	A single element in a relational database table.
Equipment	Physical equipment owned or managed by a project.

InPort Common Components

InPort



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Object Metadata Components

	J 1		
Related Info Objects	List of registered information objects that have a defined relationship to the information object.		
Objectives	List of goals, objectives and reasons for acquiring, managing, or maintaining the information object.		
References	List of laws, regulations, mandates, etc., pertaining to the information object.		
Keywords	List of subject, place, taxonomic, or other theme keywords used to facilitate searching of information.		
Person Roles	List of people and their roles in acquiring, managing, and using the information object.		
Time Frame	Temporal limits of the information object which can be based on both time/date and an information item, (e.g. trip number).		
FAQs	List of questions and answers that have been proven helpful to the understanding and use of the information object.		
Quality Information	Details on usage constraints, completeness, and accuracy of the information object.		
Access Information	Details on the access policy and access procedures of the information object.		
Data Downloade	List of available files, images, and documents for the information object with details and links for obtaining them.		
Geographic Area	Spatial bounds and geographic description of the information object.		
Methods	List of methods, protocols, and procedures used to acquire, process or manage the information object.		
Glossary	List of terms and definitions specific to the information object.		
Usage Issues	List of problems, issues, concerns related to the information object.		
Event History	List of events relating to the information object, including dates, event descriptions and person involved.		
InPort Details	InPort administrative details for this information object.		



InPort Relationships





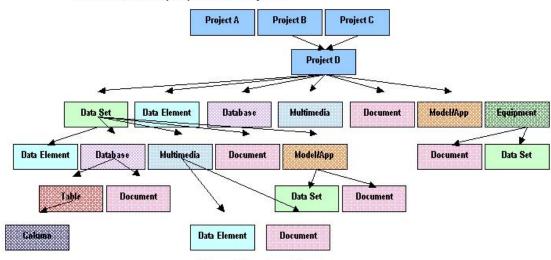
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Information Object Types and Possible Relationships

Arrows show some possible parent-child relationships; however, any object can be defined as related to another via the Related Info Object common component.



Object Metadata Components

Every Information Object may have one or more Metadata Components





InPort Main Page

InPort



National Oceanic and Atmospheric Administration National Marine Fisheries Service Southwest Fisheries Science Center Honolulu Laboratory



About InPort | NMFS-HL | Glossary | Help

Honolulu Laboratory Information Portal

Ϊ

Search

Search InPort for information on projects, data, documents, applications, people, events, FAQ's, standards and equipment.

Publish

Publish information to the Honolulu Laboratory InPort system.



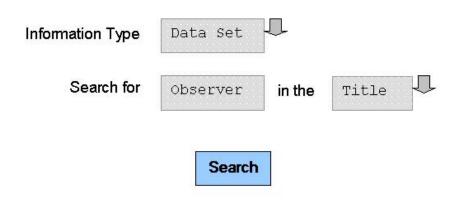
InPort Search



Honolulu Laboratory Information Portal

Enter a search string. Optionally select a specific information type and information field as is available in the drop down lists.

Information Object Search





InPort Search Results

InPort



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Search Results

2 Records Found

Туре	RegID#	Status	<u>Title</u>
			Abstract

- (1) Data Set 2001 Active Longline Observer Data
 - Observation data collected on Longline Fisheries trips beginning in 1994.
- (2) Element 3001 Active Longline Observer Trip Number

Trip Number as recorded by Longline Observers, indicating the nth trip.

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InPort Object Profile

Information Object Profile

Title: Longline Observer Data

Identification:

Registry ID: 2001 Version Date: 16 Oct 2002

Registry Status: Reviewed

Data Type: Data Set Parent Object: 2005

Data Status: Active

Abstract: Observation data collected on Longline Fisheries trips beginning in 1994. The abstract will

probably be much longer than this is.

 $\textbf{Supplemental Information:} \ \ \textbf{Supplemental information on this object goes here in paragraph form} \ \ \ \textbf{The}$

supplemental information may be much longer than this is.

Objectives: Objectives go here in paragraph form. The objectives may be long.

Keywords (6)

Subject Keywords: Fisheries

Taxonomic Keywords: Tuna, Swordfish Place Keywords: Palmyra, Jarvis, Hawaii

Time Frame

Start Date: 01/01/1994 Start as of: Trip LL0001

End Date:

Geographic Bounds

Т

Bounding Coordinates in decimal degrees (positive North latitudes and Eastern longitudes):

 Northern Boundary:
 18.2345

 Southern Boundary:
 -5.4321

 Eastern Boundary:
 155.0032

 Western Boundary:
 -176.3333

References (1)

RegID CitationRecord goes here.



InPort Object Profile

Related Objects (2)

Type RegID # Status Title Relationship Description 1. Element RegID Reviewed Trip# Relationship information goes here. 2. Document RegID Reviewed Observer Data Collection Manual Relationship information goes here.

Documents (2)

	Year	RegID#Doc Type	Title
1.	1999	RegID Article	Document Title Goes Here
2.	1998	RegID Report	Observer Quarterly Report, 1998 Quarter 1

Methods (2)

Туре	RegID#	Status	Method Name
Acquisition	RegID	Reviewed	Method Name1
View	RegID	Reviewed	Method Name2

Quality Assurance

Data Use/Constraints: Description of use and constraints goes here in paragraph form

Roles (2)

Name: Janet Pappas
Org: <u>NMFS/SWFSC/HL</u>

Role: DBA

Primary Phone: 808-983-5309 Alternate Phone:

Mobile Phone: Pager:

Fax: 808-983-2922

Primary email: janet.pappas@noaa.gov Alternate email: jpappas@swfc2.hawaii.edu

Name: Karen L. Sender
Org: NMF S/JIMAR
Role: Application Developer
Primary Phone: 808-983-53

 Primary Phone:
 808-983-5387
 Alternate Phone:

 Mobile Phone:
 808-256-7483
 Pager:
 808-256-7483

Fax: 808-983-2922

Primary email: karen.sender@noaa.gov Alternate email: ksender@swfc2.hawaii.edu



InPort Object Profile

Access Information

Availability: Confidential

Access Policy: Summary data may be available, based on a minimum of boats in a given area for a given

time period

Access Procedures: Contact the data manager.

Data Access (2)

1. File Name: obs.xls

File Description:

File Type: MS Excel
Application Vers. Office 2000
File Size (KB): 13.2
File Last Write Date: 10/20/2002
Compression Status: ZIP
Access Connection: HTTP

View/Download

2. File Name: obs.csv

File Description: Comma separated values.

File Type: ASCII
Application Vers.:
File Size (KB): 13.2

File Size (KB): 13. File Last Write Date: 10/20/2002

Compression Status: ZIP Access Connection: FTP

View/Download

Additional Information

FAQ's

Terminology

Object History

Calendar

InPort Registry Details

Information Type: Data
Information Status: Active

Entry Date: 10/09/2002 Release Date: 10/26/2002

Retire Date:

Data Entry Person: JP

Subtype: Data Set

Revision Date:

Review Date: 10/09/2003